U.S. Department of Justice

Washington, DC-20530

## **Exhibit A to Registration Statement** Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at http://www.fara.gov.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov">http://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: http://www.fara.gov.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name and	2. Registration No.						
The DLM Group, LLC 9616 Burke View Avenue Burke, VA 22015			6498				
3. Name of Foreign Principal Government of the Democratic Republic of Congo, through Southfive Strategies, LLC (FARA registration # 6426)  4. Principal Address of Foreign Princip Kinshasa, Democratic Republic of Co							
5. Indicate w	hether your foreign principal is one of the follow	ving:					
	vernment of a foreign country 1						
☐ Fo	reign political party						
☐ Fo	reign or domestic organization: If either, check of	one of the following:					
	Partnership	Committee	•				
	☐ Corporation ☐	Voluntary group.					
	☐ Association ☐	Other (specify)					
☐ Inc	lividual-State nationality		<del></del>				
	gn principal is a foreign government, state:						
a) H	Branch or agency represented by the registrant						
Ý	Ministry of Foreign Affairs and International Coo	pperation					
b) 1	Name and title of official with whom registrant de	eals					
ŀ	H.E. Raymond Tshibanda, former Minister of Foreign Affairs, currently Special Envoy to the United States						
	gn principal is a foreign political party, state: Principal address						
b) :	Name and title of official with whom registrant d	ieals					
<b>c)</b> 1	Principal aim						

<sup>1 &</sup>quot;Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States. FORM NSD-3

	ncipal is not a foreign government or a foreign political party	·
a) State th	ne nature of the business or activity of this foreign principal.	
	•	
		,
b) Is this t	foreign principal:	
Supervised	by a foreign government, foreign political party, or other for	reign principal Yes 🗌 No 🔲
Owned by	a foreign government, foreign political party, or other foreign	n principal Yes 🗆 No 🗀
Directed by	y a foreign government, foreign political party, or other foreign	gn principal Yes 🗌 No 🖸
Controlled	by a foreign government, foreign political party, or other for	eign principal Yes □ No □
Financed b	y a foreign government, foreign political party, or other forei	gn principal Yes □ No □
	in part by a foreign government, foreign political party, or ot	• • • •
	, , , , , , , , , , , , , , , , , , , ,	
9. Explain fully all	items answered "Yes" in Item 8(b). (If additional space is ne	eded, a full insert page must be used.)
•		
		·
10 164 6		
foreign principa	incipal is an organization and is not owned or controlled by a il, state who owns and controls it.	Toreign government, foreign political party or other
an angre branchis		•
•		
<del></del>		·
	ÉXECUTION	
in accordance w	ith 28 U.S.C. § 1746, the undersigned swears or affirms undeforth in this Exhibit A to the registration statement and that he	er penalty of perjury that he/she has read the
contents are in the	neir entirety true and accurate to the best of his/her knowledge	e and belief.
Date of Exhibit A	Name and Title	Signature
May 10, 2017	Marcus Lubin, Senior Principal	/s/ Marcus Lubin eSigned
		L

U.S. Department of Justice

## **Exhibit B to Registration Statement** Pursuant to the Foreign Agents Registration Act of 1938, as amended

Washington, DC 20530

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at http://www.fara.gov.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="http://www.fara.gov">http://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="http://www.fara.gov">http://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterespionage Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Name of Registrant     The DLM Group, LLC		2. Registration No.	A 1. 207		
			6428		
3. Na	ame of Foreign Principal	<u> </u>			
Ġov	vernment of the Democratic Republic of Congo, through	h Southfive Strategies, LLC (FARA	registration #6426)		
	Check A	ppropriate Box:			
4. ⊠	The agreement between the registrant and the above-nar checked, attach a copy of the contract to this exhibit.	ned foreign principal is a formal w	ritten contract. If this box is		
5. □	There is no formal written contract between the registrar foreign principal has resulted from an exchange of corre correspondence, including a copy of any initial proposal	spondence. If this box is checked,	attach a copy of all pertinent		
6. 🗆	The agreement or understanding between the registrant and the foreign principal is the result of neither a formal written contract nor an exchange of correspondence between the parties. If this box is checked, give a complete description below of the terms and conditions of the oral agreement or understanding, its duration, the fees and expenses, if any, to be received.				
7. De	scribe fully the nature and method of performance of the	above indicated agreement or unde	erstanding.		
Th	e DLM Group, LLC has been retained by Southfive Strate	egies, LLC (FARA registration #642	26) in connection with		

Southfive's contract to provide services to Mer Security and Communications Systems Ltd. (FARA registration #6423) on

behalf of the Government of the Democratic Republic of Congo.

8. Describe fully the	activities the registrant engages in or prop	poses to engage in on behalf of the above foreign	principal.				
with its services to	The registrant has been retained by Southfive Strategies, LLC (FARA registration #6426) to assist Southfive in connection with its services to Mer Security and Communications Systems Ltd. (FARA registration #6423) on behalf of the Government of the Democratic Republic of Congo.						
		·					
	•						
9. Will the activities of the footnote below		clude political activities as defined in Section 1(c	) of the Act and in				
	such political activities indicating, among leans to be employed to achieve this purp	other things, the relations, interests or policies to	be influenced				
All of the activities listed in Item B will be undertaken in order to communicate information to the foreign principal (Government of the Democratic Republic of Congo), as well as to communicate information about the foreign principal and its issues of concern to interested persons in the U.S. Congress. At the request of the foreign principal, meetings with Members of Congress and their staff may be arranged.							
		• •					
			•				
		•					
	FYI	CUTION	<del></del>				
	EXECUTION						
information set forth		or affirms under penalty of perjury that he/she had nent and that he/she is familiar with the contents to s/her knowledge and belief.					
Date of Exhibit B	Name and Title	Signature					
May 10, 2017	Marcus Lubin, Senior Principal	/s/ Marcus Lubin	eSigned				
rootnote: "Political activity," :	as defined in Section 1(o) of the Act, means any activity	y which the person engaging in believes will, or that the person in	ends to, in any way influence				

Footnote: "Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

#### **AGREEMENT**

This agreement for consulting services (the "Agreement") is made and entered into on this 25th day of April 2017, serves to confirm that Southfive Strategies, LLC, a corporation formed under the laws of the District of Columbia, with an office in Washington, DC (the "Company"), has engaged The DLM Group, LLC, a corporation formed under the laws of the Commonwealth of Virginia ("the Contractor"), to provide consulting services to the Company in connection with the Company's representation of Mer Security and Communication Systems Ltd. (the "Client"), relating to the strengthening and deepening of relations between the United States and the Democratic Republic of Congo (the "Government").

The Company and the Contractor hereby agree to the following terms:

- 1. <u>Term.</u> This Agreement shall last three (3) months, commencing on 25 April 2017, and terminating on 25 July 2017. It may be renewed for additional and successive periods upon the explicit written agreement of both parties. This Agreement may, during its term, be terminated with or without cause at any time by either party after thirty (30) days written notice to the other party.
- 2. <u>Services.</u> The Contractor shall provide consulting services, as required, for the Company and the Client to assist the Government in initiating a process in the United States that is intended to result in a better understanding of the complicated situation in the Congo, promote both the welfare and safety of the Congolese people, and strengthen US interests in the region. The Company, the Contractor and the Client shall jointly determine the full nature and extent of those services. The Contractor shall not pursue any strategy or agenda without the express consent of the Company and Client.
- 3. <u>Fees.</u> The Contractor shall receive as compensation for its services a retainer fee of \$27,600, for the services described herein. This retainer fee shall be payable in the following installments:
  - ➤ An installment of \$13,800.00 due and payable no later than 8 May 2017.
  - ➤ An installment of \$13,800.00 due and payable no later than 28 July 2017.

Both normal and non-routine expenses (e.g., think tank event costs, record access and retrieval fees, non-local travel and mileage, website construction) would be billed separately; however, prior express approval of any expenses in excess of \$250 would be required. Any such expenses by the Contractor would be remitted by the Company to the Client for payment.

- 4. <u>Refund for Early Termination</u>. In the event that this Agreement is terminated prior to the end of its term, any retainer fees paid to the Contractor by the Company that exceed the pro-rata share of fees apportioned on a daily basis, up to and including the date thirty (30) days after notice of termination has been provided as described herein, shall be refunded by the Contractor to the Company.
- 5. Report. The Contractor will provide the Company with weekly status reports concerning the services provided in the previous week and to be provided in the following week, as described

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herein provided during the previous week within two business days of the end of the previous week.

- 6. Privileged Information. Subject to the requirements of US law (including Title 22, Chapter 11, of the United States Code pertaining to the Foreign Agents Registration Act of 1938, as amended), the Contractor, understanding the sensitive nature of the services to be provided under this Agreement, will make every effort to protect and keep secret all privileged communications and other confidential information developed by the Contractor or provided to the Contractor by the Company or the Client during the course of the Contractor's provision of services described herein. The Contractor shall neither make nor keep more copies than necessary of materials relating to its services for the Company and the Client. The Contractor also agrees to keep files relating to its services for the Company and the Client in a secure manner.
- 7. <u>Subcontractors</u>. The Contractor may employ at its discretion subcontractors to assist in providing the services described herein. The Contractor shall engage such subcontractors, subject to the approval of the Company and Client, which approval shall not be unreasonably withheld. Copies of all subcontractor agreements shall be provided to the Company and Client. The Contractor shall be responsible for all payments due to such subcontractors for their services.
- 8. <u>Legal Compliance</u>. The Contractor and any subcontractor of the Contractor shall separately and individually comply with all applicable US laws and regulations. In particular, it shall comply with Title 22, Chapter 11, of the United States Code pertaining to the Foreign Agents Registration Act of 1938, as amended.
- 9. Conflicts. The Company understands that the Contractor provides services to other entities that are engaged in international business, trade, and similar activities. Notwithstanding anything to the contrary within this Agreement, the Contractor, in the exercise of its sole discretion, may represent any commercial entity in doing business with the Company. However, the Contractor shall notify the Company of any potential conflicts of interest between its representation of the Company and any other party and any possible remedial measures that can be taken to remove the conflict(s). Once notified, the Company shall in its sole discretion, determine whether a material conflict exists and whether remedial measures proposed are sufficient.

#### 10. Representations and Warranties.

- a. The Company hereby represents and warrants that the undersigned has the full power and authority to enter into this Agreement on behalf of the Company and to carry out the obligations contemplated herein. This Agreement, when executed by the undersigned on behalf of the Company, will constitute a legal, valid and binding agreement of the Company enforceable against the Company in accordance with its terms.
- b. The Contractor hereby represents and warrants that the undersigned has the full power and authority to enter into this Agreement on behalf of the Contractor and to carry out the obligations contemplated herein. This Agreement, when executed by the undersigned on behalf of the Contractor, will constitute a legal, valid and binding agreement of the Contractor enforceable against the Contractor in accordance with its terms.

- c. The Contractor hereby represents and warrants that it is an independent contractor and not an employee of the Company. As such, it has no authority to bind the Company in any manner whatsoever, absent the express written consent of the Company.
- 11. Governing Law. The parties agree that this Agreement shall be governed by the laws of the District of Columbia, without reference to the principles of conflicts of laws thereof. All disputes arising out of this Agreement shall be subject to the exclusive jurisdiction and venue of the courts of the District of Columbia (or if there is federal jurisdiction, the United States District Court for the District of Columbia) and the parties consent to the personal jurisdiction and exclusive jurisdiction and venue of these courts.
- 12. <u>Indemnity</u>. The Contractor shall be solely responsible for the acts of its employees and/or agents and shall defend and hold the Company harmless from and indemnify it for any claims that arise from such acts.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be duly executed and delivered as of the day and year first written above, in two (2) original copies in the English language.

FOR THE DLM GROUP, LLC:

By: Marc Lubin Senior Principal

FOR SOUTHFIVE STRATEGIES, LLC:

By: Dason Epstein

President